

STC

Stable Tower Capital Management

BROKERAGE ACCOUNT APPLICATION FORM

Account Information

Account Registration

Select the type of registration you want on your Account

- Individual** **Joint Tenants with Right of Survivorship** **Tenants in Common** **Other**
- If one owner dies, his/her interest passes to the surviving owner(s). If one owner dies, his/her interest passes to his/her estate (50/50, unless otherwise noted). Contact Stable Capital to obtain additional documents that must accompany this application. Certain restrictions may apply.

Account Holder

<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Dr.
Last name		First	Middle
Email address(es)		Date of birth(mm/dd/yyyy)	
Home address			
City	State, Province	Country	Postal, Zip Code
Postal address (if different than above)			
Home phone (if applicable) <small>(Country code-Area code-Number)</small>		Mobile phone <small>(Country code-Area code-Number)</small>	
Other phone (if applicable) <small>(Country code-Area code-Number)</small>		Fax (optional) <small>(Country code-Area code-Number)</small>	
Country of citizenship			
Country of residence (if other than above)			

Additional Account Holder (If applicable)

<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Dr.
Last name		First	Middle
Email address(es)		Date of birth(mm/dd/yyyy)	
Home address			
City	State, Province	Country	Postal, Zip Code
Postal address (if different than above)			
Home phone (if applicable) <small>(Country code-Area code-Number)</small>		Mobile phone <small>(Country code-Area code-Number)</small>	
Other phone (if applicable) <small>(Country code-Area code-Number)</small>		Fax (optional) <small>(Country code-Area code-Number)</small>	
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Country of residence (if other than above)			

Securities industry regulations require that we request the following information:

Employment status			
<input type="checkbox"/> Employed	<input type="checkbox"/> Self-Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Unemployed
Employer/Business name			
Nature of business			
Business address			
City	State, Province	Country	Postal, Zip Code
Business phone (Country code) (City/Area code) (Number)			
Occupation/Position		Years with employer	
Marital Status		Number of dependents	
<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input checked="" type="checkbox"/> Divorced	

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Is any member of your household a control person (Example: A person who can exercise power or has a controlling interest in a company) in a publicly traded corporation?

Yes No **If YES, please specify the corporation:** _____

Investment Profile

<p>Account Holder</p> <p>Investment Knowledge</p> <p><input type="checkbox"/> None <input type="checkbox"/> Good</p> <p><input type="checkbox"/> Limited <input type="checkbox"/> Extensive</p> <p>Investment Experience</p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">None</th> <th style="text-align: center;">Limited</th> <th style="text-align: center;">Good</th> <th style="text-align: center;">Excellent</th> </tr> </thead> <tbody> <tr><td>Common Shares</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Preferred Shares</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Bonds</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Money Market</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>New Issues</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Mutual Funds</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Options</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Commodities/Futures</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr><td>Venture Situations</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> </tbody> </table> <p>Trading Experience (years):</p> <table style="width:100%; 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<p>Investment Goal</p> <p><input type="checkbox"/> Capital Preservation <input type="checkbox"/> Income <input type="checkbox"/> Growth <input type="checkbox"/> Speculation</p> <p>Investment Objective Definitions: Capital preservation: The objective of capital preservation is to protect your initial investment by choosing investments that minimize the potential of any loss of principal. The long-term risk of capital preservation is that the returns may not be adequate to offset inflation. Income: The primary objective of an income strategy is to provide current income rather than long-term growth of principal. Growth: The objective of a growth strategy is to increase the value of your investment over time with recognizing a high likelihood of volatility. Speculation: A speculator's objective is to assume a higher risk of loss in anticipation of potentially higher than average gain by taking advantage of expected price changes.</p>																																																																																																																									
<p>Does anyone other than the account holder(s) have trading authorization over the account?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please complete and mail Power of Attorney form to add an individual as having trading authority.</p>																																																																																																																									
<p>I /We am/are of legal age to enter in this agreement. I hereby request that Stable Tower Capital Management open an account in the name(s) listed as account holder(s) on this application. I/We the undersigned agree to provide instructions as an authorized signatory to Stable Tower Capital Management via phone, fax, email or any other method deemed appropriate by Stable Tower Capital Management, without instructions being duly confirmed in writing. Therefore, this signed document allows Stable Tower Capital Management to process transactions sent via any of the above mentioned mediums without liability in any form to Stable Tower Capital Management or its employees.</p> <p>I understand there are fees associated with establishing, maintaining, engaging in transactions, and transferring assets out of this account. I further acknowledge that securities products purchased or sold in a transaction with Stable Tower Capital Management are subject to investment risks, including possible loss of the principal invested. I understand that Stable Tower Capital Management does not provide tax, legal or accounting advise.</p>																																																																																																																									
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> X _____ Signature of Primary Account Owner Date </div>	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> X _____ Signature of Co-Account Owner Date </div>																																																																																																																								

FOR STABLE TOWER CAPITAL MANAGEMENT ONLY:											
Approved By						Date Approved (mm/dd/yy)			Print Name of Approver		
Source Code						Indexing Code					
Branch Office and Account Number											